

Genetics of Amyotrophic Lateral Sclerosis

Genetic discovery and the promise of gene therapy create hope for effective treatments and eventual prevention.

By Katharine Nicholson, MD



Amyotrophic lateral sclerosis (ALS) is a progressive disorder that affects motor neurons, leading to ultimate paralysis and respiratory failure. Although the majority of ALS cases are sporadic (SALS), familial ALS (FALS) accounts for approximately 10% of the population with ALS. As our understanding of ALS phenotypes and disease mechanisms has grown, so has the pace of ALS gene discovery. This research has paved the way for trials in ALS gene therapy, offering hope for people affected by FALS. The neurology community has the opportunity to educate individuals at risk for the inherited forms of ALS and provide information on evolving experimental gene therapy programs.

Advances in genetic testing techniques and research initiatives focused on ALS gene discovery have led to an explosion in the number of known ALS causative genes and potential modifiers over the last decade. Since the discovery of the *SOD1* gene,¹ which is the most common ALS causative gene accounting for 20% of FALS, more than 50 genes have been associated with ALS (Figure).² The majority of mutations are dominantly inherited with high penetrance and account for a small portion of apparent SALS (ie, 1%-3% of SALS are secondary to *SOD1* mutations, whereas 5% of SALS can be attributed to the *C9orf72* repeat expansion). For the treating neurologist, it may be useful to conceptualize the most common ALS causative genes in the context of phenotypic presentation (Table).

Amyotrophic Lateral Sclerosis Gene Variants

SOD1 Variants

The *SOD1* gene encodes a ubiquitously expressed cytoplasmic enzyme, Cu-Zn superoxide dismutase, which has an antioxidant function. When mutated, *SOD1* has a complex toxicity. More than 150 ALS-causing variants have been identified in nearly every region of the *SOD1* polypeptide.² Most *SOD1* variants lead to lower motor neuron-predominant phenotypes.³ The rate of progression is dependent on the variant harbored by an individual, ranging from rapidly

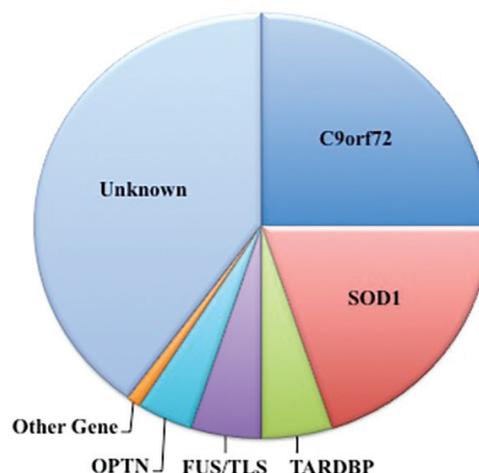


Figure. Common Genes in Familial Amyotrophic Lateral Sclerosis

progressive *SOD1*A4V4 to variants associated with a more indolent course, such as *SOD1*D90A.³

C9orf72 Repeat Expansion

Pathogenic expansion of GGGGCC repeats in *C9orf72* has been detected on chromosome 9p21.⁵ It is proposed that this causes disease via gain of function leading to accumulation of RNA foci and RNA-binding proteins or toxicity from dipeptide repeat protein translation and impaired nucleocytoplasmic transport.^{2,6} Healthy individuals harbor between 2 and 23 repeats. In contrast, individuals with ALS typically have hundreds to thousands of repeats. The repeat expansion is inherited in an autosomal dominant manner and represents the most common form of familial ALS (25%), with a higher prevalence in Scandinavian populations. The related phenotype is representative of the entire clinical spectrum of ALS.⁷ Importantly, these individuals have a higher incidence of bulbar-onset ALS and ALS

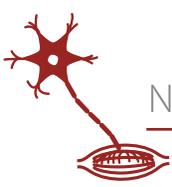


TABLE. SELECTED AMYOTROPHIC LATERAL SCLEROSIS CAUSATIVE GENES BY PHENOTYPE

Phenotype	Selected genes ¹	Locus	% FALS	Inheritance pattern
Widely variable Bulbar onset and coincidence of frontotemporal dementia (FTD) common Penetrance is age-dependent Increased prevalence in Scandinavian populations	C9orf72 repeat expansion ^{2,3}	9p21-22	25%	Dominant
Tendency for lower motor neuron predominance and lower limb onset Cognitive changes are rare Phenotype and progression rate are dependent on SOD1 variant (>150) Uniform phenotype: D90A-homozygous, E100K, E100G, A89V, L84F, L84V, D76V, H46R, G37R, G10V Variable phenotype: A4V, C6G, G41S, N86S, D90A- heterozygous, I112M, I113T, L144F, V148I Fast progression: A4V, H43R, L84V, G85R, N86S, G93A Slow progression: D90A, G93C, H46R	SOD1 ⁴	21q22.1	20%	Dominant or recessive ^a
Earlier age of onset (average 53 years old, range 28-78 years old) Upper limb onset common Longer disease duration (average 63.0 months, range 32-77 months)	TARDBP ⁵	q36	5%	Dominant
Associated with a juvenile form of ALS with onset before age 25 Cognitive changes are rare R521C variant with weakness of neck and proximal limb muscles	FUS/TLS ⁴	16p11.2	5%	Dominant
Tendency for slower disease progression and long duration prior to respiratory dysfunction Widely variable age of onset (30-60 years old) TBK1 gene interacts with OPTN promoting neurodegenerative processes	OPTN ⁴	10p15-p14	4%	Dominant or recessive

^a Exception: D90A can be inherited in either autosomal dominant or recessive manner

with parkinsonism, and there is a higher coincidence of the behavioral variant of frontotemporal dementia and family history of dementia. The penetrance (ie, proportion of gene carriers expressing the associated phenotype) of the disease appears to increase with age, with 99.5% penetrance by age 83.⁸ Cohort-based studies provide potential evidence for anticipation (ie, earlier appearance of a genetic disease with each succeeding generation) in C9orf72 (C9)-related ALS, with an unclear relation to expansion size.⁷ Rates of survival for people with C9-related ALS also appear shorter than for those without the repeat expansion.⁹ These findings require verification in larger cohorts but are a step toward understanding the broad spectrum of C9-related disease.

KIF5A-Associated Amyotrophic Lateral Sclerosis

Mutations in the C-terminus of the *kinesin family member 5A (KIF5A)* gene are also associated with ALS.^{10,11} People with this loss-of-function mutation have a distinctive ALS phenotype with a younger disease onset (median age of onset 46.5) and slower disease course (median survival of approximately 10 years) compared to the average person with ALS.¹² Mutations in the N-terminus of this same gene

can cause disorders, such as hereditary spastic paraplegia (SPG10) and Charcot–Marie–Tooth disease type 2. The association of the *KIF5A* mutation with ALS exemplifies how large-scale genetic discovery research initiatives in ALS can broaden our understanding of inherited neurodegenerative disease to help guide patients and their families regarding prognosis and genetic risk.

Other Variants

There is enormous interest in genetic variants that could potentially modify ALS susceptibility, clinical phenotype, or survival. Single nucleotide variants (SNVs) in the *UNC13A* gene are associated with population-specific ALS risk in a cohort from the United Kingdom and different SNVs correlated with long or short survival.¹³ In people with ALS, *EPHA4* expression inversely correlates with disease onset and survival, whereas in contrast, *EPHA4* loss-of-function mutations are associated with longer survival.¹⁴ Finally, a variant of *TREM2*, which has been found to play a role in microglial activation, is a risk factor for sporadic ALS.¹⁵ Genetic modifiers with an impact on survival could be helpful in prognostication or targeted directly for therapeutic intervention.



Using Amyotrophic Lateral Sclerosis Genetics

The field of neurogenetics can be challenging to navigate because of the rapid pace of genetic discovery and growing selection of available tests. Genetic testing can be complex and collaboration with a genetic counselor can both help to delineate the appropriate testing and provide support to patients and providers. If a genetic counselor is not available, referral to a specialized neuromuscular disease center may be appropriate.

Targeted single-gene testing may first be sought if the genetic variant in the family is known. In familial cases where a gene has not yet been identified, next generation sequencing (NGS) panels now allow for timely and simultaneous evaluation for multiple ALS-causative genes. If targeted variant tests or NGS panels are unrevealing, high-throughput methods such as whole exome sequencing (WES), which is analysis of the entire coding portion of the genome, or whole genome sequencing (WGS), which spans the entire genome, can be used for more in-depth analyses.

When to Test Genetics

In the symptomatic person with ALS, genetic testing should be strongly considered if there is a family history of ALS, dementia is present, or onset occurred before age 50. The patient's rationale for learning their gene status should be sought. Knowledge of genetic risk can directly affect reproductive decisions for the person affected, their spouse, and/or subsequent generations. In the setting of early symptoms concerning for ALS and a family history of ALS or dementia, positive genetic testing can expedite an otherwise often lengthy diagnostic process. Before testing, it is important to explore the individual's context (eg, social support and mental health stability), recognizing that genetic test results may cause added psychologic stress.

Utility of Genetic Testing

A diagnosis of FALS can expand options for experimental therapy targeting an individual's own gene mutation—a unique opportunity in a field where precise etiology of disease is often unknown. If clinical trials in FALS are not locally available, the caring neurologist could search clinicaltrials.gov or ALS-related clinical trials websites and direct individuals accordingly.

A Time of Great Promise

Researchers are now partnering with families affected by FALS in presymptomatic gene carrier natural history studies, striving to identify the earliest biological changes of ALS at the point of symptom conversion. In this exciting time of genetic discovery and promising gene therapy, there is finally

hope for effective treatments and ultimately disease prevention for people affected by inherited forms of ALS. ■

- Rosen DR. Mutations in Cu/Zn superoxide dismutase gene are associated with familial amyotrophic lateral sclerosis. *Nature*. 1993;364(6435):362.
- Taylor JP, Brown RH, Cleveland DW. Decoding ALS: from genes to mechanism. *Nature*. 2016;539(7628):197-206.
- Yamashita S, Ando Y. Genotype-phenotype relationship in hereditary amyotrophic lateral sclerosis. *Transl Neurodegener*. 2015;4:13.
- Cudkovic ME, McKenna-Yasek D, Sapp PE, et al. Epidemiology of mutations in superoxide dismutase in amyotrophic lateral sclerosis. *Ann Neurol*. 1997;41(2):210-221.
- Renton AE, Majounie E, Waite A, et al. A hexanucleotide repeat expansion in C9ORF72 is the cause of chromosome 9p21-linked ALS-FTD. *Neuron*. 2011;72(2):257-268.
- Zhang K, Daigle JG, Cunningham KM, et al. Stress granule assembly disrupts nucleocytoplasmic transport. *Cell*. 2018;173(4):958-971.e917.
- Cooper-Knock J, Shaw PJ, Kirby J. The widening spectrum of C9ORF72-related disease; genotype/phenotype correlations and potential modifiers of clinical phenotype. *Acta Neuropathol*. 2014;127(3):333-345.
- Murphy NA, Arthur KC, Tienari PJ, et al. Age-related penetrance of the C9orf72 repeat expansion. *Sci Rep*. 2017;7(1):2116.
- Gendron TF, Daughrity LM, Heckman MG, et al. Phosphorylated neurofilament heavy chain: A biomarker of survival for C9ORF72-associated amyotrophic lateral sclerosis. *Ann Neurol*. 2017;82(1):139-146.
- Brenner D, Yilmaz R, Müller K, et al. Hot-spot KIF5A mutations cause familial ALS. *Brain*. 2018;141(3):688-697.
- Nicolas A, Kenna KP, Renton AE, et al. Genome-wide analyses identify KIF5A as a novel ALS gene. *Neuron*. 2018;97(6):1268-1283.e1266.
- Ahmeti KB, Ajroud-Driss S, Al-Chalabi A, et al. Age of onset of amyotrophic lateral sclerosis is modulated by a locus on 1p34.1. *Neurobiol Aging*. 2013;34(11):357.e357-319.
- Gaastera B, Shatunov A, Pulit S, et al. Rare genetic variation in UNC13A may modify survival in amyotrophic lateral sclerosis. *Amyotroph Lateral Scler Frontotemporal Degener*. 2016;17(7-8):593-599.
- Van Hoecke A, Schoonaert L, Lemmens R, et al. EPHA4 is a disease modifier of amyotrophic lateral sclerosis in animal models and in humans. *Nat Med*. 2012;18(9):1418-1422.
- Cady J, Koval ED, Benitez BA, et al. TREM2 variant p.R47H as a risk factor for sporadic amyotrophic lateral sclerosis. *JAMA Neurol*. 2014;71(4):449-453.

Katharine Nicholson, MD

Instructor, Harvard Medical School
Assistant Physician, Department of Neurology
Sean M. Healey & AMG Center for ALS at
Massachusetts General Hospital
Boston, MA

Disclosure

KN has received funding from the ALS Association, American Academy of Neurology, ALS Finding a Cure, Target ALS, the Muscular Dystrophy Association, Brainstorm Therapeutics, and the Salah Foundation, provided consulting for Avanir Pharmaceuticals and completed the Anne B. Young Fellowship in Therapeutic Development at Massachusetts General Hospital and Biogen.

COLUMN EDITOR



William S. David, MD, PhD

Associate Professor of Neurology
Harvard University School of Medicine
Associate Program Director
Harvard Partners Neuromuscular Medicine
Fellowship Training Program
Director, Neuromuscular Diagnostic Center and
EMG Laboratory
Massachusetts General Hospital
Boston, MA